**APPLICATION FOR A LICENCE-INSURANCE BROKER**

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| ooxWord://word/media/image402.binooxWord://word/media/image403.bin1.  Application letter |
| ooxWord://word/media/image406.binooxWord://word/media/image407.bin2. Proof of payment: Application fee of M1,250.00 Renewal fee of M500.00  **CBL account number: 65220-3124-3540** |
| ooxWord://word/media/image410.binooxWord://word/media/image411.bin3.  Completed signed Form |
| ooxWord://word/media/image414.binooxWord://word/media/image415.bin4. Copies of Memorandum and Articles of Association |
| ooxWord://word/media/image418.binooxWord://word/media/image419.bin5.  Copy of Certificate of Incorporation |
| ooxWord://word/media/image422.binooxWord://word/media/image423.bin6. Copy of Tax Clearance Certificate for business |
| ooxWord://word/media/image426.binooxWord://word/media/image427.bin7. Copy of Professional Indemnity Insurance Policy of at least M1,000,000.00 |
| ooxWord://word/media/image430.binooxWord://word/media/image431.bin8.Letter from an Insurance Company confirming desire to contract the broker\* |
| ooxWord://word/media/image434.binooxWord://word/media/image435.binBank confirmation of Security Deposit M50,000.00  9. |
| ooxWord://word/media/image438.binooxWord://word/media/image439.bin |
| ooxWord://word/media/image442.binooxWord://word/media/image443.binFit and Proper Questionnaire for Directors and key personnel  10. |
| ooxWord://word/media/image446.binooxWord://word/media/image447.binCVs and certified qualifications (Diploma in Business Management / related field & Cerificate of Profeciency in Insurance) of all key personnel  a) |
| ooxWord://word/media/image450.binooxWord://word/media/image451.binb) Tax Clearance for all key personnel |
| ooxWord://word/media/image454.binooxWord://word/media/image455.binc)  Certified statement of all assets and liabilities |
| ooxWord://word/media/image458.binooxWord://word/media/image459.bind) Letters from financial institutions you had dealings in the last two years |
| ooxWord://word/media/image462.binooxWord://word/media/image463.bine)  Certified copy of Identity card (passports) |
| ooxWord://word/media/image466.binooxWord://word/media/image467.binPolice Clearance for Principal Officer and key personnel  f) |

**APPLICATION FOR A LICENCE – INSURANCE AGENT**

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| ooxWord://word/media/image471.binooxWord://word/media/image472.binooxWord://word/media/image473.binooxWord://word/media/image474.binooxWord://word/media/image475.bin |
| ooxWord://word/media/image480.binooxWord://word/media/image481.binApplication letter |
| ooxWord://word/media/image484.binooxWord://word/media/image485.binCompleted signed Form (Schedule 2) |
| ooxWord://word/media/image488.binooxWord://word/media/image489.binProof of Payment-application fee M300.00  **CBL account number: 65220-3124-3540** |
| ooxWord://word/media/image492.binooxWord://word/media/image493.binProof of Payment-renewal fee M150.00  **CBL account number: 65220-3124-3540** |
| ooxWord://word/media/image496.binooxWord://word/media/image497.bin**Copy of Professional Indemnity Insurance Policy of at least M1,000,000.00 for corporate agent** |
| ooxWord://word/media/image500.binooxWord://word/media/image501.binAgency agreement between the insurance company and the agent\* |
| ooxWord://word/media/image504.binooxWord://word/media/image505.binA motivation on the benefit of bancassurance in terms of cost-saving and an explanation of the pass through of such benefit to the client in terms of changes in premium\* |
| ooxWord://word/media/image508.binooxWord://word/media/image509.binA specimen of a contract that shall be signed by a client with a specific clause stating the bank's commitment to inform the client about other insurance alternatives\* |
| ooxWord://word/media/image512.binooxWord://word/media/image513.binEvidence of prior training under supervision (3 Months) |
| ooxWord://word/media/image516.binooxWord://word/media/image517.binFit and Proper Questionnaire for Agent or **Principal Officer for corporate agent** |
| ooxWord://word/media/image520.binooxWord://word/media/image521.bina) CVs and certified qualifications (Diploma in Business Management / related field OR Cerificate of Profeciency in Insurance) of all key personal |
| ooxWord://word/media/image524.binooxWord://word/media/image525.binb) Tax Clearance\* |
| ooxWord://word/media/image528.binooxWord://word/media/image529.binCertified statement of all assets and liabilities \*  c) |
| ooxWord://word/media/image532.binooxWord://word/media/image533.bind)  Police clearance |
| ooxWord://word/media/image536.binooxWord://word/media/image537.binLetters from financial institutions you had dealings in the last two years\*  e) |
| ooxWord://word/media/image540.binooxWord://word/media/image541.binf)  Certified copy of Identity card (passports) |

**APPLICATION FOR A LICENCE-INSURANCE COMPANY**

Refer to section 8 of Insurance Act 2014 and contact the Central Bank of Lesotho